

STATEMENT OF ASSETS AND LIABILITIES  
As of \_\_\_\_\_

		<u>Column A</u>	<u>Column B</u>
ASSETS:	<u>Schedule</u>	<u>Cost Basis</u>	<u>Estimated Value Basis</u>
Cash	1-A	\$ _____	\$ _____
Receivables	1-B	_____	_____
Marketable Securities	1-C	_____	_____
Cash Value of Life Insurance	1-D	_____	_____
Net Assets of Proprietorships	1-E	_____	_____
Interest in Net Assets of Corporations, Partnerships, Joint-Ventures	1-F	_____	_____
Residence			
Investment in Real Estate	1-G	_____	_____
Present Value of Future Interests	1-H	_____	_____
Other Assets	1-I	_____	_____
<b>TOTAL ASSETS</b>		<b>\$ _____</b>	<b>\$ _____</b>
LIABILITIES:			
Accounts Payable and Accrued Expenses	1-J	\$ _____	\$ _____
Notes Payable-Unsecured	1-K	_____	_____
Notes Payable-Secured	1-L	_____	_____
Accrued Income Taxes Payable			
Deferred Income Taxes on Unrealized Asset Appreciation			
Other Liabilities	1-M	_____	_____
<b>TOTAL LIABILITIES</b>		<b>\$ _____</b>	<b>\$ _____</b>
<b>EXCESS OF ASSETS OVER LIABILITIES</b>		<b>\$ _____</b>	<b>\$ _____</b>

Under penalties of perjury, I declare the above Statement of Assets and Liabilities and accompanying schedules are stated accurately and include all income from all sources for the year indicated for myself, my spouse and my dependents.

SIGNED: \_\_\_\_\_

DATE: \_\_\_\_\_

**SCHEDULE 1-A - CASH**

<u>Account Name</u>	<u>Type</u>	<u>Bank</u>	<u>Balance</u>
_____			\$ _____
_____			_____
_____			_____
_____			_____
TOTAL CASH IN BANKS			\$ _____
CASH ON HAND			_____
TOTAL CASH			\$ _____

**SCHEDULE 1-B - RECEIVABLES**

<u>Owner</u>	<u>Name of Debtor</u>	<u>Collateral</u>	
_____			\$ _____
_____			_____
_____			_____
_____			_____
TOTAL RECEIVABLES			\$ _____

**SCHEDULE 1-C - MARKETABLE SECURITIES**

<u>Description</u>	<u>Cost</u>	<u>Estimated Value</u>
_____	\$ _____	\$ _____
_____	_____	_____
_____	_____	_____
_____	_____	_____
TOTAL MARKETABLE SECURITIES	\$ _____	\$ _____

**SCHEDULE 1-D - CASH VALUE OF LIFE INSURANCE**

<u>Insured</u>	<u>Insurer</u>	<u>Policy Loan</u>	<u>Cash Value</u>
_____		\$ _____	\$ _____
_____		_____	_____
_____		_____	_____
_____		_____	_____
TOTAL CASH VALUE OF LIFE INSURANCE		\$ _____	\$ _____

(Use plain continuation sheet(s) if necessary)

**SCHEDULE 1-E - NET ASSETS OF PROPRIETORSHIPS**

		<u>Net Assets</u>	
<u>Business Name</u>	<u>Owner</u>	<u>Cost</u>	<u>Estimated Value</u>
_____		\$ _____	\$ _____
_____		_____	_____
_____		_____	_____
_____		_____	_____
TOTAL NET ASSETS OF PROPRIETORSHIPS		\$ _____	\$ _____

**SCHEDULE 1-F - INTEREST IN NET ASSETS OF CORPORATIONS, PARTNERSHIPS AND JOINT VENTURES**

				<u>Net Asset Value of Percent Owned</u>	
<u>Business Name</u>	<u>Type</u>	<u>Owner of Share</u>	<u>Percent Owned</u>	<u>Cost</u>	<u>Estimated Value</u>
_____				\$ _____	\$ _____
_____				_____	_____
_____				_____	_____
_____				_____	_____
TOTAL INTEREST IN NET ASSETS OF CORPORATIONS, PARTNERSHIPS AND JOINT-VENTURES				\$ _____	\$ _____

**SCHEDULE 1-G - INVESTMENT IN REAL ESTATE**

<u>Description</u>	<u>Owner</u>	<u>Percent Owned</u>	<u>Cost</u>	<u>Estimated Value</u>
_____			\$ _____	\$ _____
_____			_____	_____
_____			_____	_____
_____			_____	_____
TOTAL INVESTMENT IN REAL ESTATE			\$ _____	\$ _____

**SCHEDULE 1-H - PRESENT VALUE OF FUTURE INTERESTS**

<u>Description</u>	<u>Owner</u>	<u>Present Value</u>
_____		\$ _____
_____		_____
_____		_____
_____		_____
TOTAL PRESENT VALUE OF FUTURE INTERESTS		\$ _____

(Use plain continuation sheet(s) if necessary)

**SCHEDULE 1-I - OTHER ASSETS**

<u>Description</u>	<u>Owner</u>	<u>Cost</u>	<u>Estimated Value</u>
_____		\$ _____	\$ _____
_____		_____	_____
_____		_____	_____
_____		_____	_____
TOTAL OTHER ASSETS		\$ _____	\$ _____

**SCHEDULE 1-J - ACCOUNTS PAYABLE AND ACCRUED EXPENSES**

<u>Payable To</u>	<u>Maker</u>	<u>Type of Debt</u>	<u>Amount</u>
_____			\$ _____
_____			_____
_____			_____
_____			_____
TOTAL ACCOUNTS PAYABLE AND ACCRUED EXPENSES			\$ _____

**SCHEDULE 1-K - NOTES PAYABLE - UNSECURED**

<u>Payable To</u>	<u>Maker</u>	<u>Interest Rate</u>	<u>Due Date</u>	<u>Amount</u>
_____				\$ _____
_____				_____
_____				_____
TOTAL NOTES PAYABLE - UNSECURED				\$ _____

**SCHEDULE 1-L - NOTES PAYABLE - SECURED**

<u>Payable To</u>	<u>Maker</u>	<u>Collateral</u>	<u>Interest Rate</u>	<u>Due Date</u>	<u>Amount</u>
_____					\$ _____
_____					_____
_____					_____
TOTAL NOTES PAYABLE - SECURED					\$ _____

**SCHEDULE 1-M - OTHER LIABILITIES**

<u>Payable To</u>	<u>Maker</u>	<u>Type of Debt</u>	<u>Amount</u>
_____			\$ _____
_____			_____
TOTAL OTHERS - LESS THAN \$5,000.00 EACH			_____
TOTAL OTHER LIABILITIES			\$ _____

STATEMENT OF INCOME  
For the Year Ended \_\_\_\_\_

	<u>Schedule</u>	<u>Amount</u>
Wages, Salaries and Other Employee Compensation	2-A	\$ _____
Fees, Commissions, Honorariums, etc.	2-B	_____
Interest, Dividends and Royalties	2-C	_____
Gross Rentals	2-D	_____
Income from Business Interests	2-E	_____
Gains (Losses) from Sale or Exchange of Real Property	2-F	_____
Income from Estates and Trusts	2-G	_____
Income from Gifts	2-H	_____
Income from Forgiveness of Indebtedness	2-I	_____
Other Income	2-J	_____
TOTAL INCOME		\$ _____

Under penalties of perjury, I declare the above Statement of Income and accompanying schedules are stated accurately and include all income from all sources for the year indicated for myself, my spouse and dependent children.

SIGNED: \_\_\_\_\_

DATED: \_\_\_\_\_

Appendix II

**SCHEDULE 2-A - WAGES, SALARIES AND OTHER  
EMPLOYEE COMPENSATION**

<u>Paid To</u>	<u>Nature and Source of Income</u>	<u>Amount</u>
		\$ _____
		_____
		_____
		_____
TOTAL WAGES, SALARIES AND OTHER EMPLOYEE COMPENSATION		\$ _____

**SCHEDULE 2-B - FEES, COMMISSIONS, HONORARIUMS, ETC.**

<u>Paid To</u>	<u>Nature and Source of Income</u>	<u>Amount</u>
		\$ _____
		_____
		_____
		_____
TOTAL FEES, COMMISSIONS, HONORARIUMS, ETC.		\$ _____

**SCHEDULE 2-C - INTEREST, DIVIDENDS AND ROYALTIES**

<u>Paid To</u>	<u>Type of Income</u>	<u>Source</u>	<u>Amount</u>
			\$ _____
			_____
			_____
			_____
TOTAL INTEREST, DIVIDENDS AND ROYALTIES			\$ _____

**SCHEDULE 2-D - GROSS RENTALS**

<u>Paid To</u>	<u>Description and Location of Property</u>	<u>Amount</u>
		\$ _____
		_____
		_____
		_____
TOTAL GROSS RENTALS		\$ _____

(Use plain continuation sheet(s) if necessary)

**SCHEDULE 2-E - INCOME FROM BUSINESS INTERESTS**

<u>Paid To</u>	<u>Type of Business</u>	<u>Name of Business</u>	<u>Amount</u>
_____	_____	_____	\$ _____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL INCOME FROM BUSINESS INTERESTS			\$ _____

**SCHEDULE 2-F - GAINS (LOSSES) FROM SALE OR EXCHANGE OF REAL PROPERTY**

<u>Seller</u>	<u>Purchaser</u>	<u>Property</u>	<u>Cost or Basis</u>	<u>Selling Price</u>	<u>Gain (Loss)</u>
_____	_____	_____	\$ _____	\$ _____	\$ _____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
TOTAL GAINS (LOSSES) FROM SALE OR EXCHANGE OF REAL PROPERTY					\$ _____

**SCHEDULE 2-G - INCOME FROM ESTATES AND TRUSTS**

<u>Beneficiary</u>	<u>Name of Estate or Trust</u>	<u>Nature of Interests</u>	<u>Amount</u>
_____	_____	_____	\$ _____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL INCOME FROM ESTATES AND TRUSTS			\$ _____

**SCHEDULE 2-H - INCOME FROM GIFTS**

<u>Donee</u>	<u>Donor</u>	<u>Nature of Gift</u>	<u>Amount</u>
_____	_____	_____	\$ _____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL INCOME FROM GIFTS			\$ _____

(Use plain continuation sheet(s) if necessary)

**SCHEDULE 2-I - INCOME FROM FORGIVENESS OF INDEBTEDNESS**

<u>Donee</u>	<u>Donor</u>	<u>Nature of Indebtedness</u>	<u>Amount</u>
			\$ _____
			_____
			_____
			_____
TOTAL INCOME FROM FORGIVENESS OF INDEBTEDNESS			\$ _____

**SCHEDULE 2-J - OTHER INCOME**

<u>Paid To</u>	<u>Nature and Source of Income</u>	<u>Amount</u>
		\$ _____
		_____
		_____
		_____
		_____
TOTAL OTHER INCOME		\$ _____

(Use plain continuation sheet(s) if necessary)



FORM FDA-3 (10/83)  
GUAM  
FINANCIAL DISCLOSURE ACT  
TITLE IV, CHAPTER 13  
GUAM CODE ANNOTATED

NAME \_\_\_\_\_  
MAILING \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
NAME OF FUND \_\_\_\_\_  
TREASURER \_\_\_\_\_

STATEMENT OF RECEIPTS AND DISBURSEMENTS  
For the Year Ended \_\_\_\_\_

CASH ON HAND - January 1 \$ \_\_\_\_\_  
  
ADD:       Receipts for Year - Schedule 3-A \_\_\_\_\_  
  
          Sub-Total \$ \_\_\_\_\_  
  
DEDUCT:   Disbursement for Year - Schedule 3-B \_\_\_\_\_  
  
CASH ON HAND - December 31 \$ \_\_\_\_\_

Under penalties of perjury, I declare the above Statement of Receipts and Disbursements and accompanying schedules are stated accurately and include all receipts and disbursements of the above-named fund for the year indicated.

SIGNED: \_\_\_\_\_  
  
DATED: \_\_\_\_\_

Appendix III

**NAME** \_\_\_\_\_

**PERIOD ENDED** \_\_\_\_\_

**NAME OF FUND** \_\_\_\_\_

<u>NAME</u>	<u>ADDRESS</u>	<u>AMOUNT</u>
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[illegible]

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**NAME** \_\_\_\_\_

**PERIOD ENDED** \_\_\_\_\_

**NAME OF FUND** \_\_\_\_\_

[illegible]

(Use plain continuation sheet(s) if necessary)